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OCT 11 2001

Receipt

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/689,136	10/12/2000	1641	1644	875.032US1	28	141	1

21186

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

FILING RECEIPT



\*OC000000005756771\*

N/A

Date Mailed: 02/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**John F. Engelhardt, Iowa City, IA ;  
Dongsheng Duan, Iowa City, IA ;**Continuing Data as Claimed by Applicant**THIS APPLN CLAIMS BENEFIT OF 60/138,188 06/08/1999  
WHICH CLAIMS BENEFIT OF 60/201,089 05/02/2000**Foreign Applications**

If Required, Foreign Filing License Granted 11/30/2000

**\*\* SMALL ENTITY \*\*****Title**

Compounds and methods to enhance rAAV transduction

Schwegman, Lundberg,  
Woessner & Kluth, P.A.**Preliminary Class**

435

FEB 16 2001

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Data entry by : DILLON, LAWANDA

Team : OIPE

Date: 02/12/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John F. Engelhardt et al.

Title: COMPOUNDS AND METHODS TO ENHANCE rAAV TRANSDUCTION

Docket No.: 875.032US1

Serial No.: 09/689,136

Filed: October 12, 2000

Due Date: N/A

Examiner:

Group Art Unit: 1641

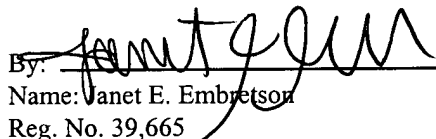
Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ Communication Re: Incorrect Filing Receipt (1 pg.)
- ☒ Copy of Filing Receipt (2 pgs.)
- ☒ A return postcard.
- ☒ Copy of the Combined Declaration and Power of Attorney as filed with Missing Parts on 01/29/01 (3 pgs.)

No Additional fee is required.

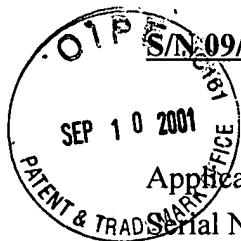
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By:   
Name: Janet E. Embretson  
Reg. No. 39,665  
JEE:CMG:lat

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 6 day of September, 2001.

PAULIA SMYKALSKI  
Name

Paula S  
Signature



S/N 09/689,136

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John F. Engelhardt et al. Examiner:  
Serial No.: 09/689,136 Group Art Unit: 1641  
Filed: October 12, 2000 Docket: 875.032US1  
Title: COMPOUNDS AND METHODS TO ENHANCE rAAV TRANSDUCTION

COMMUNICATION RE: INCORRECT FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

Applicants hereby request correction of the Filing Receipt with respect to the above-identified patent application. In the Filing Receipt received February 16, 2001, (copy enclosed), is missing the continuing data in the Foreign Applications section. It should read: **This application claims priority to PCT/US00/15700 filed on June 8, 2000**, as evidenced in the Combined Declaration and Power of Attorney filed on January 29, 2001 (copy enclosed).

Applicants would appreciate the above-identified printing error be corrected and that a new "corrected" filing receipt be sent to Applicants' representatives at the address given below.

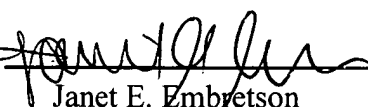
Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6959

Date September 6, 2001

By 

Janet E. Embryetson  
Reg. No. 39,665  
JEE:CMG:lat

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231,

on 9-12-01  
Date of Deposit

Paula Smykalski  
Paula